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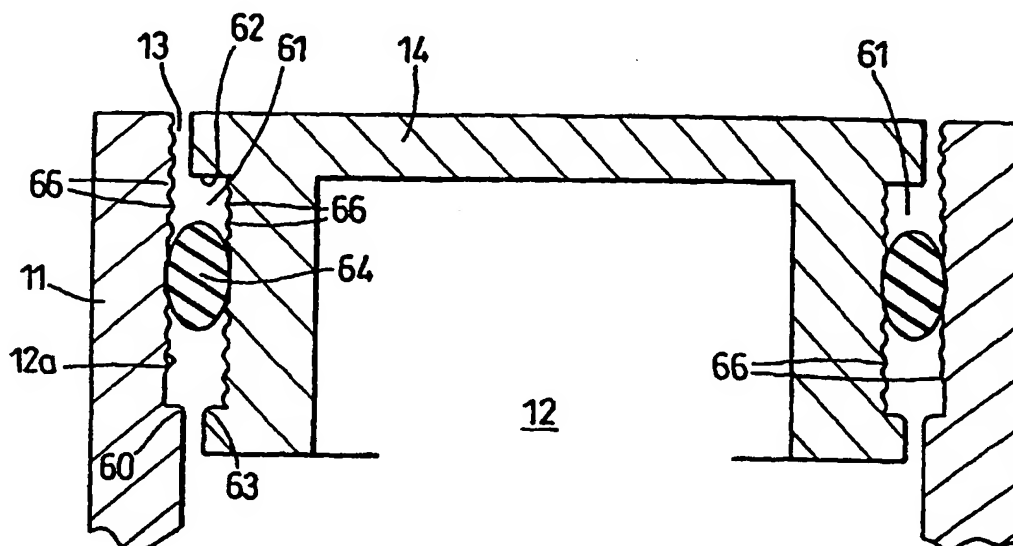
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(54) Title: INGESTIBLE DEVICE FOR THE RELEASE OF SUBSTANCES AT DISTRICT LOCATIONS IN ALIMENTARY  
CANAL



(57) Abstract: The field of the invention is site-specific drug delivery capsules (SSDC's). The disclosure relates to a closure member (14) for a substance reservoir (12) of an SSDC (10). The SSDC (10) includes a retainer that provides a non-linear force resisting opening of the closure member (14). The non-linear force ensures that the closure member (14) unseals the reservoir (12) only when an opening force exceeds a maximal value of the resisting force, thereby preventing premature or accidental emptying of the reservoir (12). The preferred means of providing the resistive force is a rolling, elastomeric o-ring (64) that additionally seals the closure member (14) into an aperture (13).

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## INGESTIBLE DEVICE FOR THE RELEASE OF SUBSTANCES AT DISTINCT LOCATIONS IN ALIMENTARY CANAL

This invention relates to an ingestible device. In particular the invention relates to such a device in the form of a capsule that is intended to release a controlled quantity of a substance, such as a pharmaceutically active compound, foodstuff, dye, radiolabelled marker, vaccine, physiological marker or diagnostic agent at a chosen location in the gastrointestinal (GI) tract of a mammal. Such a capsule is sometimes referred to as a "Site-Specific Delivery Capsule", or SSDC.

SSDC's have numerous uses. One use of particular interest to the pharmaceutical industry involves assessing the absorption rate and/or efficacy of a compound under investigation, at various locations in the GI tract. Pharmaceutical companies can use data obtained from such investigations, eg. to improve commercially produced products.

Several designs of SSDC are known. One design of capsule intended for use in the GI tract of a mammal is disclosed in "Autonomous Telemetric Capsule to Explore the Small Bowel", Lambert *et al*, Medical & Biological Engineering and Computing, March 1991. The capsule shown therein exhibits several features usually found in such devices, namely:

- a reservoir for a substance to be discharged into the GI tract;
- an on-board energy source;
- a mechanism, operable under power from the energy source, for initiating discharge of the substance from the reservoir;
- a switch, operable remotely from outside the body of the mammal,

for initiating the discharge; and

- a telemetry device for transmitting data indicative of the status, location and/or orientation of the capsule.

5

Also, of course, the dimensions of the capsule are such as to permit its ingestion via the oesophagus; and the external components of the capsule are such as to be biocompatible for the residence time of the capsule within the body.

10

The capsule disclosed by Lambert *et al* suffers several disadvantages. One disadvantage is the complexity of the device. This means that the capsule is expensive to manufacture. Also the complexity means that the capsule is prone to malfunction.

15

Additionally the capsule disclosed by Lambert *et al* includes a resiliently deformable dosing chamber that is opened when desired to expel a substance into the GI tract. The dosing chamber is difficult to fill and seal before use.

20

Some other designs of SSDC operate by simply opening an aperture in the wall of the substance reservoir (dosing chamber), whereby the substance may diffuse into the GI tract. This method of discharging the substance is inefficient because:

25

- (i) the duration of the discharge is too long, whereby substance concentrations in localised areas of the GI tract may be lower than intended by users of the SSDC;
- 30 (ii) the time taken to discharge the substance may lead to the SSDC

departing a preferred site in the GI tract before discharge is complete;  
and

- (iii) some of the substance may remain indefinitely lodged in the  
5 reservoir.

As a solution to this problem US-A-4,425,117 discloses an SSDC in which  
a powered piston simultaneously opens the reservoir and expels the  
substance therefrom. This design is believed to be prone to leakage of the  
10 substance.

US-A-5,279,607 also discloses an SSDC having a pressurisable reservoir,  
but the overall arrangement of this device is complicated. The sealing of  
the aperture against leakage and premature opening is not addressed in  
15 detail, so it is believed that US-A-5,279,607 also fails to disclose a device  
satisfying the multiple requirements of:

- good pre-dosing sealing of the reservoir;
- 20 - reliable opening of the reservoir;
- positive expulsion of the substance from the reservoir at the  
appropriate time; and
- 25 - simplicity of construction and use.

According to a first aspect of the invention, there is provided a device as  
defined in Claim 1.

30 The use of a retainer, for the closure member, that provides limited

resistance to movement thereof, and that permits full movement thereof once the force on the member attains a threshold value, allows the device of the invention to survive perturbations and electromagnetic noise that might cause premature leaking of the reservoirs of the prior art SSDC's; while  
5 permitting positive opening of the reservoir when required.

Further, advantageous features of the invention are set out in the claims depending from Claim 1.

10 The features of Claim 2 advantageously permit the forced expulsion of the substance from the reservoir.

More preferably the resistance provided by the retainer is as defined in Claim 3. This ensures that, when the force on the closure member is less  
15 than a minimum, the closure member does not move; and that when the force exceeds the minimum the closure member only moves sufficiently to unseal the aperture if the force attains the threshold value.

Preferably the retainer is a resiliently deformable member, especially an  
20 elastomeric o-ring retained in a groove, as defined in Claims 4 and 5. In preferred embodiments the o-ring is compressed as defined in Claims 6 and 7, in order to provide one source of a constant force as defined in Claim 3. The use of an o-ring ensures that the closure member seals effectively into the reservoir aperture. Consequently the closure member of the invention  
25 may be used both for filling and emptying of the reservoir, obviating the need for a separate filling orifice and mechanism and thereby simplifying the SSDC.

The preferred action of the o-ring involves the o-ring turning itself inside  
30 out while moving in a groove, as defined in Claims 8 and 9. This

arrangement conveniently provides the varying force defined in Claim 3.

A particularly preferred form of the o-ring has a circular transverse cross section.

5

The groove and a part of the exit aperture may include ribs, as defined in Claims 11 and 12, that advantageously encourage rolling of the o-ring and reduce the tendency of the o-ring to slide relative to the bung and exit aperture when the closure member experiences a force. The ribs also  
10 promote effective sealing.

Claims 13 to 18 define advantageous features of the structure of the device.

There now follows a description of preferred embodiments of the invention,  
15 by way of non-limiting example, with reference being made to the accompanying drawings in which:

Figure 1 shows in perspective, partly sectioned view a device according to the invention;

20 Figure 2 shows in cross-sectional view the closure member and exit aperture of the Figure 1 device in a sealed condition;

Figure 3 shows the Figure 2 components part way through an unsealing operation;

Figure 4 shows the Figure 2 components in an unsealed condition;

25 Figure 5 is a graph of the resistive force arising from rolling of an elastomeric o-ring, plotted against distance rolled; and

Figure 6 is a graph of the total resistive force arising from rolling of a compressed elastomeric o-ring, plotted against distance rolled.

30 Referring to the drawings there is shown an ingestible device 10 according

to the invention.

The overall envelope of the device 10 has been designed to be compatible with swallowing and smooth passage through the GI tract. To support this requirement the outer housing 11 of device 10 is smooth with no sharp edges and preferably has at least one end rounded as shown at 11a to facilitate swallowing. The diameter of the capsule preferably does not exceed 12mm and the length preferably does not exceed 35mm. The precise dimensions represent an optimisation between overall capsule size and the volume of a drug containing reservoir 12. In a preferred embodiment of the invention for a drug volume of 1ml the reservoir 12 has a diameter of 11mm and length 32mm. The rounded end 11a can within the scope of the invention range between a hemispherical profile and a flat end with a 2mm radius corner.

15

The structure and operation of device 10 are, in simple terms, as follows:

Reservoir 12 has a cylindrical interior and is open at one end 13 located at the opposite end of device 10 to rounded end 11a. Before use of the device 10 reservoir 12 is filled with a substance via aperture (open end) 13. Aperture 13 of reservoir 12 is then sealed against leakage of the contents of the hollow interior of reservoir by a closure member in the form of bung 14. Bung 14 is removable from aperture 13, in a manner described below, to permit expulsion of the contents (which may be in liquid, powder or even solid form) from the interior of reservoir 12.

25

The removal of bung 14, in use of the apparatus in the GI tract of a mammal, from aperture 13 is by virtue of selectively controlled pressurising of the interior of reservoir 12.

30



This is achieved through the action of an actuator mechanism in the form of a cylindrical piston 16 that is sealingly slideable along the interior of reservoir 12 under power from an energy source (eg. a stored energy device that is, for clarity, omitted from Figure 1).

5

The interior of device 10 on the side of piston 16 remote from reservoir 12 is generally hollow. Thus the energy source may in preferred embodiments take the form of a compressed spring whose spring force acts between eg. the rear face of piston 16 and a shoulder defined by an annular or part-  
10 annular rib 17 that is integral with and hence fixed relative to the housing 11.

The device 10 includes a releasable latch that operates to latch the energy source in a potential energy state until a chosen time.

15

In the Figure 1 embodiment the latch is in the form of an anchor 18, secured relative to piston 16, for a thread 19 made of or at least including a sharp melting point material; and a heater 20 whose function is to heat the sharp melting point thread and melt it or at least cause a dramatic increase in its  
20 ductility at a chosen time.

More specifically, in the preferred embodiment anchor 18 includes a tubular sleeve 21 one end face of which is rigidly secured to the rear face 16a of piston 16, such that the elongate axis of sleeve 21 is generally perpendicular  
25 to rear face 16a.

The hollow interior of sleeve 21 opens at the end of sleeve 21 remote from piston 16.

30 Sleeve 21 includes an elongate perforation 23, whose elongate axis is

generally parallel to the elongate axis of sleeve 21, passing through the wall of sleeve 21 as shown. A similar perforation passes through the wall of sleeve 21 on the opposite side thereof.

- 5 An elongate cylindrical anchor member 24 is slideably received at either end in the respective perforations, whereby the elongate axis of the anchor member is generally perpendicular to the elongate axis of the sleeve 21.

The diameter of anchor member 24 is less than the width of each  
10 perforation, whereby anchor member may be slid into place as shown, during assembly of device 10.

Anchor member 24 has firmly secured thereto one end of thread 19. Thread 19 passes through the hollow interior of sleeve 21 and emerges at the free  
15 end thereof, from where it passes through an aperture 25b in a printed circuit board (pcb) 25. Pcb25 is in the form of a disc secured against the side of annular rib 17 remote from reservoir 12. Thread 19 is firmly secured to the surface 25a of pcb that is remote from reservoir 12. Surface 25a also mounts heater 20 in the form of a resistor. Thread 19 passes over  
20 heater 20 between the aperture and the attachment point of thread 19 to the pcb 25a.

If as disclosed hereinabove a compression spring (not shown in Figure 1) acts between the rib 17 and piston 16, on assembly of device 10, sleeve 21  
25 will be forced, by virtue of its attachment to piston 16, towards reservoir 12 until anchor member 18 engages the end of each perforation 23 remote from piston 16, causing thread 19 to become taut and thereby preventing the further travel of piston 16 towards bung 14 while thread 19 is intact. The tension in thread 19 draws pcb 25 hard against rib 17, thereby optionally  
30 obviating the need for further restraint of pcb 25.

Pcb 25 includes a tuned receiver of externally applied radiation whereby on the device passing through an electromagnetic field of the frequency to which the receiver is tuned, a current is induced that is fed to resistor heater  
5 20. The heat from the heater 20 melts or renders highly ductile the thread 19, whereby piston 16 becomes free to move towards bung 14 powered by the energy stored in the spring.

Referring now to Figures 2 to 4, the bung 14 and exit aperture 13 are shown  
10 in more detail.

Exit aperture 13 is defined by a terminal portion of reservoir 12 that defines, in the region of aperture 13, a hollow cylindrical interior 12a.

15 Spaced a short distance from aperture 13, the hollow cylindrical interior 12a defines a radially inwardly projecting shoulder 60.

Bung 14 is a generally cylindrical member the outer, cylindrical wall 14a of which has formed therein an axially extending groove or trough 61.  
20

At either axial end, groove 61 terminates in a radially outwardly projecting shoulder 62, 63.

An endless elastomeric (resiliently deformable) o-ring 64 encircles bung 14  
25 in the vicinity of groove 61. The unstretched diameter of the annulus of o-ring 64 is such that the o-ring will not naturally pass over either shoulder 62, 63, even when bung 14 is separated from device 10. Thus o-ring 64 is retained in groove 61 by its elasticity.

30 The diameter of bung 14 in the vicinity of each shoulder 62, 63 is such that

the bung 14 is a sliding fit in the vicinity of cylindrical interior 12a.

Thus the diameter of shoulder 63 is slightly less than that of shoulder 60, for example.

5

The diameter of groove 61 and the cylindrical interior 12a are such that, on insertion of bung 14 into interior 12a, the member defining o-ring 61 is compressed to 50%-80% of its uncompressed diameter, along its entire length. This ensures a good seal of the bung 14 into aperture 13, and also  
10 provides a component of a resistive force as detailed hereinbelow.

The wall of cylindrical interior 12a and the base of groove 61 each have formed therein a series of upstanding circumferential ridges or ribs 66. The ribs 66 promote rolling, as opposed to sliding, of o-ring 64 along hollow  
15 interior 12a and groove 61, as bung 14 moves in aperture 13 relative to hollow interior 12a.

The ribs 66 also enhance the sealing of the exit aperture 13 by the o-ring 64.

20 When the bung 14 experiences a force tending to move it axially relative to the device 10, the o-ring therefore tends to roll. If the force drives the bung into the reservoir 12, the o-ring abuts shoulder 60 after a short travel and prevents further movement of bung 14.

25 If the force on bung 14 is generated by the actuator mechanism (ie. piston 16) it tends to drive bung 14 out of reservoir 12, simultaneously causing rolling of o-ring 61.

Rolling of a compressed elastomeric circular section such as an o-ring  
30 generates two components of force that resist rolling, namely:

- (i) the force arising from deformation of the o-ring as it rolls. This force is zero initially and rises to a substantially constant value; and
  - 5 (ii) the force arising from turning inside out of the o-ring as the inside diameter rolls around to become the outer diameter. This requires stretching and compression respectively of the inner and outer sections of the o-ring.
- 10 The force (ii) is non-uniform and is related to the diameter of the o-ring section, as shown in Figure 5, which plots the resistive force due to turning inside out of the o-ring against the distance rolled.

The sum of the forces (i) and (ii) is similarly plotted in Figure 6. It will thus  
15 be apparent that the compressed o-ring acts as a retainer for the bung 14 whose resistance to motion is the sum of a generally constant force and a force whose magnitude varies in dependence on the position of the bung 14 relative to the exit aperture 13. Clearly a force exceeding force (i) discussed hereinabove will cause limited movement of the bung, but as shown by  
20 Figure 6 the total resistive force increases to a maximum (ie. the threshold value) as the o-ring starts to roll inside out.

The diameter of the o-ring, the length of the groove 61 and the length of cylindrical interior 12a are chosen such that when the bung experiences a  
25 force driving it out of aperture 13 in the length of cylindrical interior 12a o-ring 64 has room to turn completely inside out no more than once before the bung 14 emerges from aperture 13 as shown in Figure 4.

Since as shown by Figure 6 the resistive force ((i) + (ii)) decreases from its  
30 maximum with further rolling of the o-ring 64 beyond the point

corresponding to the peak force, the chosen dimensions of the components ensure that the bung 14 is expelled from aperture 13 as long as the force acting on bung 14 continuously exceeds the value of component (i) of the resistive force, by a comparatively small amount, after the o-ring has turned  
5 inside out. In other words, the action of the o-ring replicates an "over centre" force resisting and then readily permitting expulsion of the bung 14.

Although the closure shown in Figures 2 to 4 could be used in a variety of different types of SSDC, its use in an SSDC as shown in Figure 1 is  
10 preferred because of the presence of a positive displacement piston 16. Piston 16 ensures that the force acting on bung 14 exceeds resistive force component (i) at all times once opening of the reservoir has been triggered.

Figure 4 shows the condition of the parts of the device 10 after release of  
15 bung 14. O-ring has reverted to a generally circular cross-section. It is retained by its resilience encircling bung 14 that is recoverable in the stools of the mammal separately from the remainder of device 10. The expulsion of the substance, under pressure, from reservoir 12 is indicated schematically by the arrows in Figure 4.

20

## CLAIMS

1. An ingestible device for delivering a substance to a chosen or identifiable location in the alimentary canal of a human or animal,  
5 comprising:  
an openable reservoir, for the substance, that is sealable against leakage of the substance, the reservoir including an exit aperture, for the substance, closeable by a closure member releasably sealed to the aperture;  
an actuator mechanism for opening the reservoir;  
10 an energy source, operatively connected for powering the actuator mechanism;  
a releasable latch for controllably switching the application of power to the actuator from the energy source; and  
a receiver of electromagnetic radiation for operating the latch when the  
15 receiver detects radiation within a predetermined characteristic range, wherein the actuator mechanism operates by applying a force to the closure member, characterised in that the device includes a retainer member, for the closure member, that provides limited resistance to unsealing of the closure member from the exit aperture whereby, on the said force on the closure  
20 member attaining a threshold value the said resistance is overcome and the closure member unseals the exit aperture to permit discharge of the substance from the reservoir.
2. A device according to Claim 1 wherein the actuator mechanism  
25 operates by pressurising the substance in the reservoir to apply the force to the closure member, whereby on unsealing of the exit aperture the substance is expelled from the reservoir under pressure.
3. A device according to Claim 1 or Claim 2 wherein the resistance  
30 provided by the retainer member is the sum of a generally constant force

and a force whose magnitude varies in dependence on the position of the closure member relative to the exit aperture.

4. A device according to any preceding claim wherein the retainer member includes a resiliently deformable member interconnecting the closure member and a part of the device fixed relative to the exit aperture, whereby the said resistance acts directly or indirectly between the closure member and the exit aperture.

5. A device according to any preceding claim wherein the exit aperture is defined by a hollow, cylindrical portion of the reservoir and the closure member is a generally cylindrical bung that is moveably receivable in the exit aperture, the cylindrical outer side wall of the bung including a peripheral groove, the retainer member including a resiliently deformable o-ring seated in the said groove and sealingly interconnecting the peripheral groove and the hollow, cylindrical portion whereby on movement of the bung relative to the exit aperture the o-ring moves relative to at least one of the groove and the hollow, cylindrical portion.

6. A device according to Claim 5 wherein the o-ring is compressed between the peripheral groove and the hollow cylindrical portion, thereby causing a generally constant force resisting movement of the bung relative to the exit aperture, on rolling of the o-ring relative to the said grooves and hollow cylindrical portion.

25

7. A device according to Claim 6 wherein the o-ring is compressed to between 50% and 80% of its uncompressed thickness.

8. A device according to any of Claims 5 to 7 wherein the width of the grooves and the axial length of the hollow, cylindrical portion are such that,

30



on the bung moving more than a predetermined distance relative to the exit aperture, in a direction tending to open the exit aperture, the o-ring rolls and turns inside out along its entire circumference, thereby causing a force, resisting movement of the bung relative to the exit aperture, whose  
5 magnitude increases to a maximal value and decreases as the bung moves the said predetermined distance.

9. A device according to Claim 8 wherein the cross-section of the o-ring, the axial length of the hollow, cylindrical portion and the width of  
10 the groove are such that, on the bung moving more than the said predetermined distance in the said direction, the bung opens the exit aperture before the o-ring again turns inside out, thereby providing a single force threshold resisting opening of the exit aperture.

15 10. A device according to Claim 5 or any claim dependent therefrom, wherein the o-ring is circular in transverse cross-section.

11. A device according to Claim 5 or any claim dependent therefrom, wherein the peripheral groove includes upstanding from the base thereof a  
20 series of annular ribs extending generally parallel to the said base.

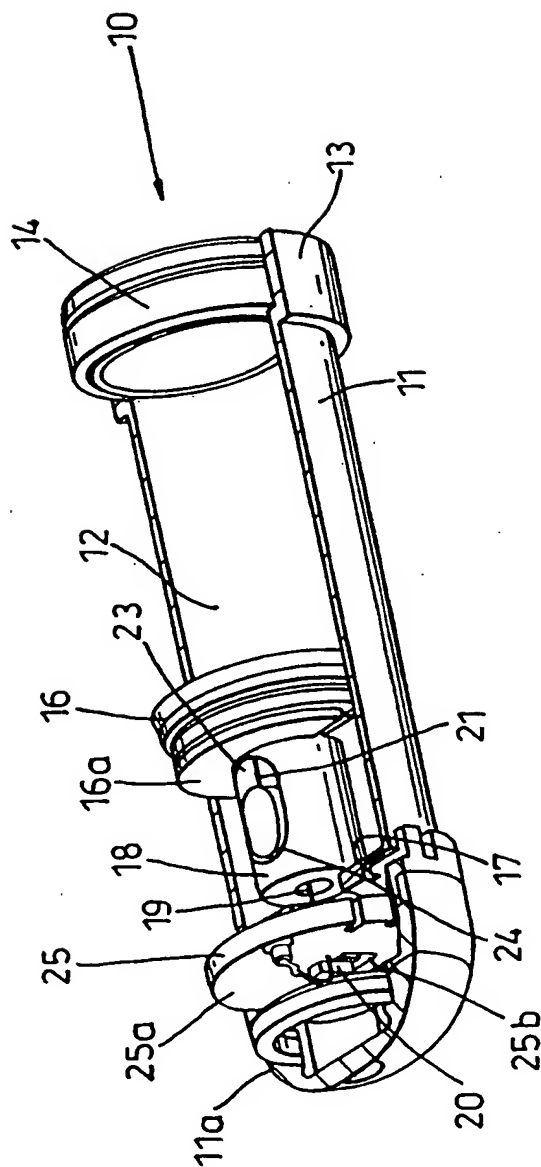
12. A device according to Claim 5 or any claim dependent therefrom, wherein the hollow, cylindrical portion includes a series of annular ribs extending radially inwardly from the wall of the hollow, cylindrical portion.  
25

13. A device according to Claim 5 or any claim dependent therefrom, wherein the hollow cylindrical portion terminates at one end in the exit aperture and at an opposite end in a shoulder that protrudes into the hollow, cylindrical portion to limit movement of the bung in a direction towards the  
30 reservoir.

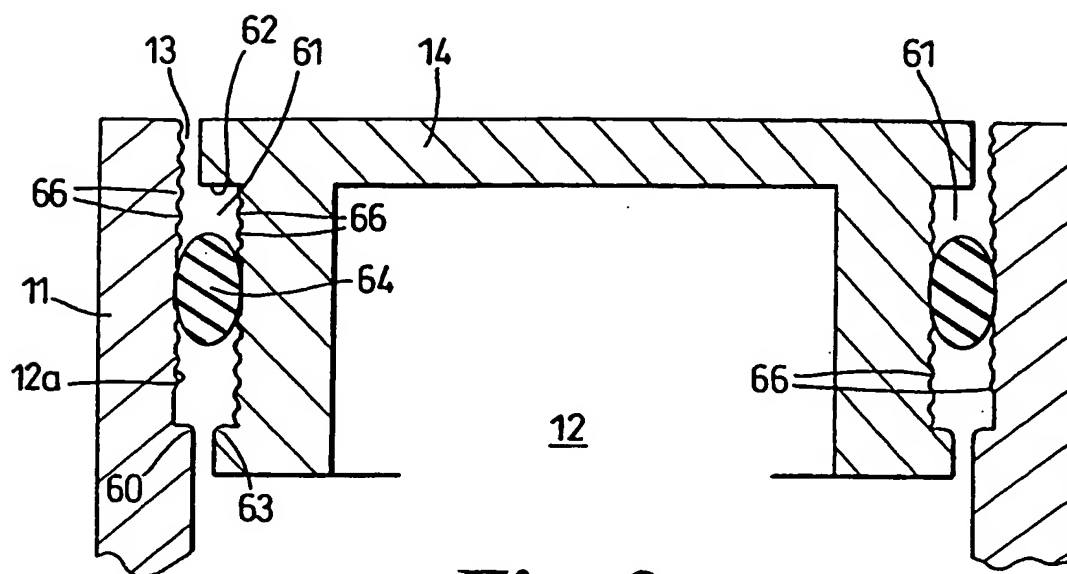
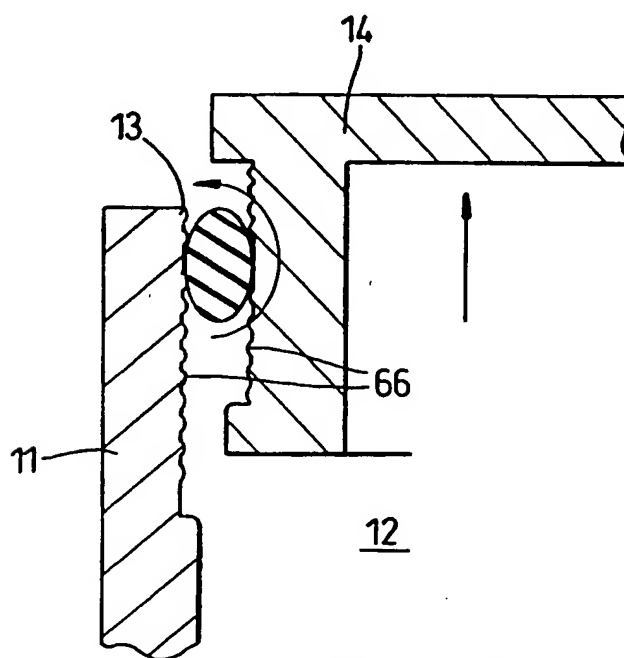
14. A device according to Claim 5 or any claim dependent therefrom,  
wherein on opening of the exit aperture the bung separates from the device.
- 5 15. A device according to Claim 5 or any claim dependent therefrom  
wherein the o-ring is captive relative to the bung.
16. A device according to any preceding claim including a transmitter  
operable to transmit a signal indicative of opening of the exit aperture for  
10 release of the substance from the reservoir.
17. A device according to any preceding claim wherein the reservoir  
includes a hollow, elongate cylinder and the actuator mechanism includes a  
piston moveable in the cylinder under power from the energy source to  
15 pressurise the reservoir thereby opening the closure member and expelling  
the substance from the reservoir.
18. A device according to any preceding claim wherein the reservoir  
contains a charge of a liquid, powdered or solid substance, or a suspension  
20 or a solution.
19. A device according to Claim 18 wherein the reservoir has been filled  
via the exit aperture before closing of the exit aperture by the closure  
member.

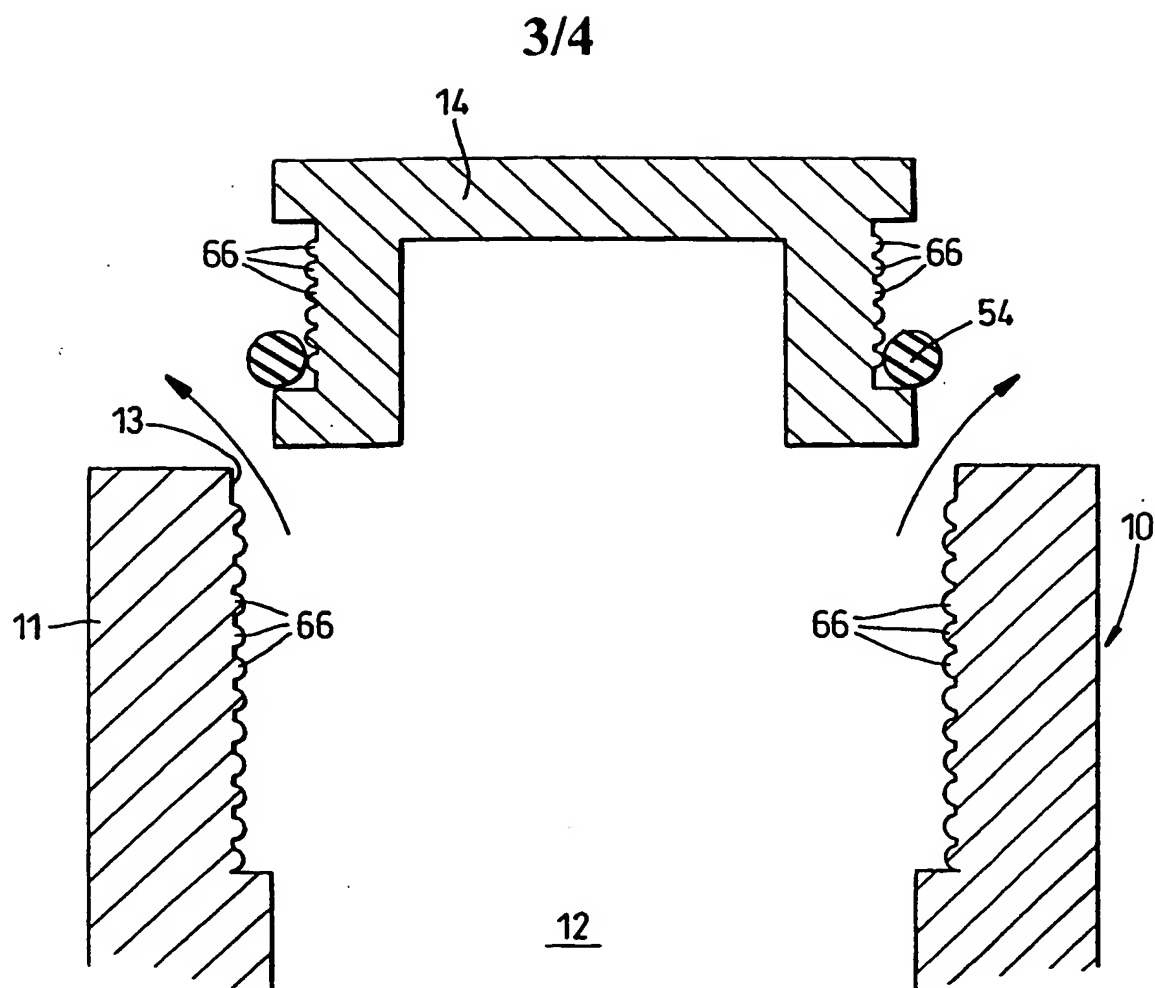
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*Fig. 1*

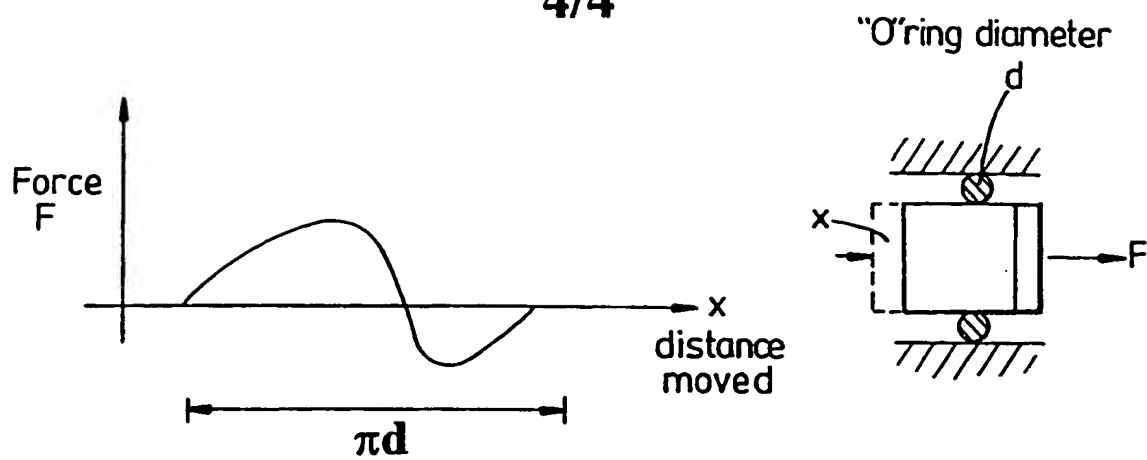
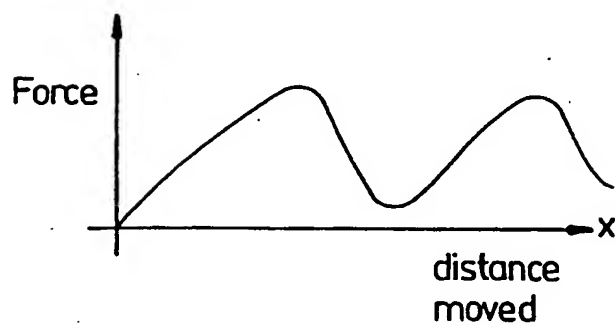
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*Fig. 2**Fig. 3*



***Fig. 4***

4/4

*Fig. 5**Fig. 6*

# INTERNATIONAL SEARCH REPORT

International Application No

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## A. CLASSIFICATION OF SUBJECT MATTER

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According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

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Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 425 117 A (HUGEMANN BERHARD ET AL) 10 January 1984 (1984-01-10) cited in the application abstract column 2, line 60 -column 3, line 8; figure 2 column 3, line 46 -column 4, line 1 ---	1-19
A	US 4 239 040 A (HOSOYA TAKESHI ET AL) 16 December 1980 (1980-12-16) abstract figures 4-6; examples 2,3 ---	1
A	US 4 507 115 A (KAMBARA KOJI ET AL) 26 March 1985 (1985-03-26) abstract; figures 2,3 ---	1
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☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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# INTERNATIONAL SEARCH REPORT

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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0 715 847 A (LILLY CO ELI) 12 June 1996 (1996-06-12) abstract; claim 1 column 8, line 21-51; figures 7,9,10 ----	1
A	US 5 279 607 A (SCHENTAG JEROME J ET AL) 18 January 1994 (1994-01-18) cited in the application abstract column 7, line 60-68; figure 4 -----	1



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Information on patent family members

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